

Macquarie Capital Securities (India) Private Limited

Client Collateral Segregation

Clearing corporation vide circular [NCL/CMPL/49764](#) issued on September 29, 2021 and [NCL/CMPL/50662](#) dated December 17, 2021 has put in place a framework for Segregation and Monitoring of Collateral at Client Level, pursuant to SEBI's guidelines for the client collateral segregation framework in order to further strengthen the mechanism of protection of client collateral from:

- misappropriation/ misuse by TM/ CM and
- default of TM/CM and/or other clients,

In accordance with aforesaid circular and with a view to provide visibility of client-wise collateral, Clearing Corporations have provided a web portal facility to allow investors to view their disaggregated collateral placed with Member and as reported by their registered Trading Member /Clearing Member.

The said facility will provide the following benefits:

- Investors can verify/ validate the collaterals deposited by them with the members vis-à-vis the collaterals reported by members to clearing corporations.
- Investors can also view break-up of their collateral lying with the trading member, clearing member and clearing corporations.
- Visibility through web portal will bring transparency with respect to their collateral placed with trading member on daily basis in seamless manner.

Investors are urged to regularly view the details of their collateral available with the respective clearing corporations and in case Investors find any discrepancy in the collateral deposited by them with their members and as reported by respective trading members/clearing members to clearing corporations, they are advised to take up the matter immediately with their trading member.

Web portal facility to clients:

Further, with a view to providing visibility of client-wise collateral and in compliance with [SEBI circular no. SEBI/HO/MRD2_DCAP/CIR/2021/0598 dated July 20, 2021](#), NSE Clearing has provided a web portal facility to allow clients to view their disaggregated collateral placed with Member and as reported by their registered Trading Member /Clearing Member.

In order to view the collateral details, please find the below link:

[Click here to check Client Collateral Details](#)

Investors need to get registered in order to view the client collateral data.

Investors may refer to the Circular No. NCL/CMPL/49348 dated September 29, 2021 for registration and viewing the collateral data on the website.